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Sheet 1 of 1

**Complete if Known**

Application Number	09/981,645
Filing Date	October 16, 2001
First Named Inventor	Peter Melchior
Art Unit	3692
Examiner Name	Harish T. Dass
Attorney Docket Number	13364/1025

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	1	US 4,799,156	01-17-1989	SHAVIT, et. al.	
	2	US 5,359,509	10-25-1994	LITTLE, et. al.	
	3	US 5,644,727	07-01-1997	ATKINS	
	4	US 5,704,044	12-30-1997	TARTER, et. al.	
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	14	US 2002-0095373	07-18-2002	MELCHIOR, et. al.	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
	22	WO 96/012242	04-25-1996	World Trade Centers Association, Inc.		
	23	WO 01/035286	05-17-2001	Banque de France, et. al.		
	24	WO 02/033514	04-25-2002	Tradecard, Inc.		

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	25	MOHAMAD, Packeer, "Examining cash-flow forecasts," New Straits Times, Kuala Lumpur, December 10, 1995, 5pgs.	
	26	LEONARD, Adrian, "Internal services offered at Lloyd's," Journal of Commerce, November 4, 1998, p. 11A.	
	27	TERNEF, Barry, A., "Safeguarding shipments when going global," Risk Management, September 1993, Vol. 40, Issue 9, pages 20-26.	
	28	WATTERSON, P., "Credit default swaps on asset-based securities," Credit [online], November 22 [retrieved March 28, 2007] from URL <http://www.srz.com/files/article-november02-creditefaultswap.pdf>	

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